## **ONLINE FIRST**

# Meta-analysis Confirms *CR1*, *CLU*, and *PICALM* as Alzheimer Disease Risk Loci and Reveals Interactions With *APOE* Genotypes

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**Objectives:** To determine whether genotypes at *CLU*, *PICALM*, and *CR1* confer risk for Alzheimer disease (AD) and whether risk for AD associated with these genes is influenced by apolipoprotein E (*APOE*) genotypes.

**Design:** Association study of AD and *CLU*, *PICALM*, *CR1*, and *APOE* genotypes.

**Setting:** Academic research institutions in the United States, Canada, and Israel.

**Participants:** Seven thousand seventy cases with AD, 3055 with autopsies, and 8169 elderly cognitively normal controls, 1092 with autopsies, from 12 different studies, including white, African American, Israeli-Arab, and Caribbean Hispanic individuals.

**Results:** Unadjusted, *CLU* (odds ratio [OR], 0.91; 95% confidence interval [CI], 0.85-0.96 for single-nucleotide polymorphism [SNP] rs11136000), *CR1* (OR, 1.14; 95% CI, 1.07-1.22; SNP rs3818361), and *PICALM* 

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(OR, 0.89; 95% CI, 0.84-0.94, SNP rs3851179) were associated with AD in white individuals. None were significantly associated with AD in the other ethnic groups. APOE  $\varepsilon$ 4 was significantly associated with AD (ORs, 1.80-9.05) in all but 1 small white cohort and in the Arab cohort. Adjusting for age, sex, and the presence of at least 1 APOE  $\varepsilon$ 4 allele greatly reduced evidence for association with *PICALM* but not *CR1* or *CLU*. Models with the main SNP effect, presence or absence of APOE  $\varepsilon$ 4, and an interaction term showed significant interaction between presence or absence of *APOE*  $\varepsilon$ 4 and *PICALM*.

**Conclusions:** We confirm in a completely independent data set that *CR1*, *CLU*, and *PICALM* are AD susceptibility loci in European ancestry populations. Genotypes at *PICALM* confer risk predominantly in *APOE*  $\epsilon$ 4–positive subjects. Thus, *APOE* and *PICALM* synergistically interact.

Arch Neurol. Published online August 9, 2010. doi:10.1001/archneurol.2010.201

LZHEIMER DISEASE (AD) IS the most common form of dementia, affecting 5% of the population older than 65 years and 30% to 50% older than 80 years. Substantial progress was made identifying genes for rare forms of early-onset AD<sup>1-4</sup> and this early success significantly contributed to biologic study of AD mechanisms and, more recently, multiple drug discovery approaches. Lateonset AD, the common form of the disease, has been more difficult to solve, with apolipoprotein E (APOE) being the only confirmed susceptibility locus.5 The combination of high-density genotyping methods, large well-characterized AD and control populations, and statistical methods

to evaluate population stratification now provide the tools to identify additional genes contributing to AD risk.

Recently, 2 genome-wide association studies (GWAS) reported evidence that variations in *CLU* (encoding clusterin), *PICALM* (encoding the phosphatidylinositol binding clathrin assembly protein), and *CR1* (encoding complement component [3b/4b] receptor 1) confer genetic risk for AD.<sup>6,7</sup> Evidence for these 3 loci reached genome-wide significance in samples consisting of 5964 cases and 10 188 controls (*PICALM* and *CLU*) and 5887 cases and 8508 controls (*CRI* and *CLU*). To analyze the role of these genes in AD risk, the Alzheimer's Disease Genetics Consortium (ADGC) performed a meta-analysis using

Cohort	No. of Cases	No. of Autopsies	Onset Age, y, Mean (SD)	No. of Controls	No. of Autopsies	Age at Last Examination, y, Mean (SD)	Total	Ethnic Group, %
White subjects								
ADC	1595	1421	73 (7.7)	553	134	77 (8.7)	2148	17
ADNI	286	0	74 (8.1)	195	0	78 (5.4)	481	4
CAMP	127	0	79 (7.9)	105	0	76 (7.8)	232	2
FHS	197	0	83 (6.4)	2392	0	73 (7.5)	2589	20
UM/VU/MSSM	1170	370	74 (7.7)	1169	75	74 (7.6)	2339	18
MIRAGE	560	0	71 (6.5)	790	0	72 (7.1)	1350	10
NIA-LOAD	993	367	72 (6.9)	884	45	76 (8.4)	1877	14
OHSU	187	215	87 (7.3)	429	461	86 (7.2)	616	5
TGEN	820	613	80 (8.3)	517	377	83 (8.9)	1337	10
Total	5935	2986		7034	1092		12 969	100
African American subjects								
ADC	61	61	75 (7.0)	63	63	76 (6.2)	124	14
JHU	221	0	77 (6.6)	186	0	78 (6.6)	407	45
MIRAGE	180	0	70 (8.9)	200	0	71 (10.0)	380	42
Total	462	61		449	63		911	100
Arab subjects								
Wadi Ara cohort Caribbean Hispanic subjects	124	0	78 (7.9)	142	0	72 (6.0)	266	100
Columbia University cohort All ethnic groups	549	8	80 (8.0)	544	0	79 (6.4)	1093	100
Total	7070	3055		8169	1155		15 239	

Abbreviations: ADC, Alzheimer's Disease Centers cohort; ADNI, Alzheimer's Disease Neuroimaging Initiative cohort; CAMP, Collaborative Aging and Memory Project cohort; FHS, Framingham Heart Study cohort; JHU, Johns Hopkins University cohort; MIRAGE, Multi-Institutional Research on Alzheimer's Genetic Epidemiology cohort; NIA-LOAD, National Institute on Aging Late-Onset Alzheimer's Disease cohort; OHSU, Oregon Health and Science University cohort; TGEN, Translational Genomics Research Institute cohort; UM//U/MSSM, University of Miami/Vanderbilt University/Mount Sinai School of Medicine cohort.

<sup>a</sup>Additional information on all cohorts is provided in the eAppendix and eTables 1, 2, and 3 (http://www.archneurol.com).

GWAS data for 15 239 subjects from 9 Northern European white cohorts and 5 cohorts that included African American, Israeli-Arab, and Caribbean Hispanic individuals (**Table 1**). Genotypes for *CR1*, *CLU*, and *PICALM* were analyzed for association with AD using cohorts that are completely independent of those originally used to identify these 3 loci as AD susceptibility factors. The controls used are all elderly (>60 years). We also examined the interaction of *APOE* with *CR1*, *CLU*, and *PICALM* on AD risk.

#### **METHODS**

## **SUBJECTS**

All cohorts are described in more detail in the eAppendix and eTables 1, 2, and 3 (http://www.archneurol.com). The National Institute on Aging (NIA) Alzheimer's Disease Center (ADC) subjects were ascertained, evaluated, and sampled by the clinical and neuropathology cores of the 29 NIA-funded ADCs (Table 1). Subject data collection is coordinated by the National Alzheimer's Coordinating Center. DNA from these samples for genotyping was prepared by the National Cell Repository for Alzheimer's Disease. The Alzheimer's Disease Neuroimaging Initiative (ADNI) subjects are AD cases and controls ascertained for neuroimaging, biomarker, and genetic studies. Data used herein were generated as previously described8 and obtained from the ADNI database (http://www.loni.ucla.edu/ADNI/). The Collaborative Aging and Memory Project subjects are from the Amish communities of central Ohio and northern Indiana.9,10 The Columbia University subjects are a Hispanic cohort described in detail elsewhere.11 The Framingham Heart Study is a single-site, communitybased, ongoing cohort study described elsewhere.<sup>12-14</sup> Phenotype and GWAS data were from the dbGaP Web site (http://www .ncbi.nlm.nih.gov/gap). The Johns Hopkins University subjects are from the Genetic and Environmental Risk Factors for Alzheimer's Disease Among African Americans (GenerAAtions) Study identified through the electronic claims database of the Henry Ford Health System. The Multi-Institutional Research on Alzheimer's Genetic Epidemiology (MIRAGE) Study is a familybased genetic epidemiological study of AD in which AD cases and unaffected sibling controls were enrolled at 17 clinical centers in the United States, Canada, Germany, and Greece.<sup>15</sup> The NIA Late-Onset Alzheimer's Disease (NIA-LOAD) Family Study (E. M. Wijsman, PhD, Y Choi, MS, J. H. Rothstein, MS, et al, unpublished data, June 2010) cohort are families with 2 or more affected siblings with late-onset AD and unrelated control subjects without dementia similar in age and ethnic background. One case per family was selected and controls were determined to be cognitively normal after an in-person neurological examination and were not related to a study participant. The Oregon Health and Science University cohort were recruited from aging research cohorts at 10 NIA-funded ADCs and do not overlap with other ADGC samples. The Translational Genomics Research Institute data set is a publicly available sample of AD cases and controls (http://www.tgen.org/research/index.cfm?pageid=1065).16 The University of Miami/Vanderbilt University/Mount Sinai School of Medicine cohort were new and previously published<sup>17-20</sup> subjects ascertained at the University of Miami, Vanderbilt University, and Mount Sinai School of Medicine. The Wadi Ara data set are from a inbred Arab community in northern Israel.21-24

#### **GENOTYPING**

The cohorts used were genotyped either on Illumina (San Diego, California) or Affymetrix (Santa Clara, California) single-nucleo-

Table 2. GWAS Genotyping Platform, Numbers of SNPs Genotyped and Imputed, and *APOE* Genotype Distribution for the Study Samples

		CRI, CLU, and PICALM SNPs			
Cohort	Genotyping Platform <sup>a</sup>	No. Genotyped <sup>b</sup>	No. Imputed <sup>c</sup>		
White subjects					
ADC	Illumina 660Quad	11	6		
ADNI	Illumina 610Quad	10	6		
CAMP	Affymetrix 6.0	16	0		
FHS	Affymetrix 5.0	3	13		
UM/VU/MSSM	Illumina 550, 610Quad, 1M, 1M-duo; Affymetrix 6.0	17	0		
MIRAGE	Illumina 660Quad	8	8		
NIA-LOAD	Illumina 610Quad	11	6		
OHSU	Illumina 370K	9	6		
TGEN	Affymetrix 500K	3	12		
African American subjects					
ADC	Illumina 660Quad	10	5		
JHU	Illumina 660Quad	10	4		
MIRAGE	Illumina 660Quad	8	7		
Arab subjects					
Wadi Ara cohort	Illumina 660Quad	9	5		
Caribbean Hispanic subjects					
Columbia University cohort	Illumina 650Y	10	0		

Abbreviations: ADC, Alzheimer's Disease Centers cohort; ADNI, Alzheimer's Disease Neuroimaging Initiative cohort; APOE, apolipoprotein E; CAMP, Collaborative Aging and Memory Project cohort; FHS, Framingham Heart Study cohort; GWAS, genome-wide association studies; JHU, Johns Hopkins University cohort; MIRAGE, Multi-Institutional Research on Alzheimer's Genetic Epidemiology cohort; NIA-LOAD, National Institute on Aging Late-Onset Alzheimer's Disease cohort; OHSU, Oregon Health and Science University cohort; SNP, single-nucleotide polymorphism; TGEN, Translational Genomics Research Institute cohort; UM/VU/MSSM, University of Miami/Vanderbilt University/Mount Sinai School of Medicine cohort.

<sup>a</sup> Illumina (San Diego, California) or Affymetrix (Santa Clara, California).

<sup>b</sup> The number of genotyped SNPs includes SNPs on the genotyping platform and SNPs genotyped individually by TaqMan (Applied Biosystems, Foster City, California) or other techniques (eAppendix and eTables 1, 2, and 3 [http://www.archneurol.com]).

<sup>c</sup>The number of imputed SNPs reflects the number satisfying predetermined quality thresholds ( $R^2 > 0.5$ ).

tide polymorphism (SNP) arrays (**Table 2**). We selected 17 SNPs from *CR1*, *CLU*, and *PICALM* that were recently reported to be significantly associated with AD in 2 large GWAS<sup>6,7</sup> (**Table 3**). Additional genotypes were obtained using TaqMan assays (Applied Biosystems, Foster City, California) including genotypes for rs7982. Genotyping for the APOE  $\varepsilon 2/\varepsilon 3/\varepsilon 4$  alleles was performed as described in the eAppendix and eTables 1, 2, and 3.

### ANALYSIS

The analysis included only individuals with a censoring age of 60 years or older. The age used for cases was that most closely

approximating the age at disease onset. For some cohorts, age at onset was ascertained while for others, only age at ascertainment was available. For some autopsied subjects, only age at death was available and was used as the censoring age. For all studies, the age used for controls was the age at last examination or death (eAppendix and eTables 1, 2, and 3).

## IMPUTATION PROCEDURE

We imputed genotypes for all SNPs within 10 kilobases of the 3 genes using the Markov chain haplotyping software<sup>25</sup> to obtain a common set of SNPs across all data sets. We imputed SNPs from both HapMap releases 2 and 3 (International HapMap Project, http://snp.cshl.org/) and retained those with pairwise linkage disequilibrium ( $r^2$ >0.50) for further analysis (see eAppendix and eTables 1, 2, and 3 for more detail and for data cleaning protocols).

### POPULATION SUBSTRUCTURE

To determine if population substructure existed in the different data sets, we used 30 000 to 100 000 SNPs with minor allele frequency more than 0.25 and minimal between-SNP linkage disequilibrium ( $r^2 < 0.20$ ) sampled at random from the autosomes and analyzed with the STRUCTURE software package.<sup>26,27</sup> To account for population substructure in association analyses, EIGENSTRAT<sup>28</sup> was used on each cohort to generate loadings from principal components analysis on the sampled SNPs (eAppendix and eTables 1, 2, and 3).

## STATISTICAL ANALYSIS

Genotyped and imputed SNPs were tested for association with AD using a logistic generalized linear model in case-control data sets and a logistic generalized estimating equation in familybased data sets. Genotyped SNPs were coded as 0, 1, or 2 according to the number of minor alleles under the additive genetic model, whereas APOE was coded as 0 or 1 according to the presence or absence of the ɛ4 allele. For imputed SNPs, a quantitative estimate between 0 and 2 for the dose of the minor allele was used to incorporate the uncertainty of the imputation estimates. Regression models for each SNP without covariates were evaluated for comparison with results from the original reports<sup>6,7</sup> Additional models containing all permutations of covariates for age, sex, and APOE E4 status were also tested. Formal tests of interaction between the SNPs and APOE were assessed by including the main effects and an interaction term. Regression models were evaluated using the R package.<sup>29</sup> Heterogeneity among odds ratios (ORs) was assessed using the Cochran Q, which was calculated as the weighted sum of squared differences between individual study effects and the pooled effect across studies, with the weights being those used in the pooling method. Q was distributed as a  $\chi^2$  with k (number of studies) minus 1 df. The  $I^2$  statistic<sup>30,31</sup> describes the percentage of variation across studies that is due to heterogeneity rather than chance and was calculated as follows:  $I^2 = 100\% \times (Q - df)/Q$ .  $I^2$ is an intuitive and simple expression of the inconsistency of studies' results. Unlike Q, it does not inherently depend on the number of studies considered. The SNP association results obtained from individual data sets were combined by metaanalysis using the inverse variance method implemented in the software package METAL (http://www.sph.umich.edu/csg /abecasis/Metal/index.html). An additive model was assumed and the association results across data sets were combined by summing the regression coefficients weighted by the inverse variance of the coefficients. The meta-analysis P value of the association was estimated by the summarized test statistic.

## Table 3. Meta-analysis Results for Association of AD With SNPs in CR1, CLU, and PICALM in White Individuals

						Unadjusted			Adjusted for Age, Sex, and APOE			
SNP		MA	N	IAF	OR	(95% CI)	P Valu	e <sup>a</sup>	OR (95% CI)		P Value <sup>a</sup>	
CR1												
rs3818361		А	0	.26	1.14	(1.07-1.22)	6.1×1	0-5	1.15 (1.07-1.24)		.0002	
rs6701713		А	0	.26	1.14	(1.07-1.22)	8.8×1	0-5	1.15 (1.07-1.24)		.0002	
rs1408077		А	0	.26	1.14	(1.07-1.22)	.0001		1.16 (1.07-1.25)		.0002	
CLU						· · · ·			· · · /			
rs7012010		С	0	.39	1.10	(1.03-1.17)	.0025	5	1.10 (1.02-1.17)		.0081	
rs3087554		C	0	.16	1.00	(0.92-1.09)	.92		0.98 (0.89-1.08)		.71	
rs11136000		Ť	0	.43	0.91	(0.85-0.96)	.0007	7	0.92 (0.86-0.98)		.0096	
rs9331888		G	0	25	0.99	(0.92-1.06)	76		0.99 (0.91-1.07)		74	
rs7982		Т	0	.20	0.87	(0.81-0.94)	.10	)	0.89 (0.83-0.97)		0046	
PICALM			0	.00	0.07	(0.01 0.04)	.0002	-	0.00 (0.00 0.07)		.0040	
rs532470		G	0	40	1.06	(1 00-1 11)	048		1 02 (0 96-1 09)		47	
rc5029707		C	0	20	0.02	(0.86-0.00)	.0+0		0.02(0.301.03)			
rc677000		C	0	.20	0.92	(0.00-0.33) (0.02-0.04)	.02 2 2 \sec 1	<b>n</b> -5	$0.30(0.03^{-1}.04)$		.00	
ro626040		C	0	.40	1.00	(0.03 - 0.34)	0.0 \ 1	0	0.94(0.00-1.00)		.050	
15030040		G	0	.24	1.02	(0.90 - 1.00)	.0000	) 0-5	1.00(0.93-1.07)		.90	
15041400		C	0	.39	0.00	(0.03 - 0.93)	2.0 × 1	0-5	0.94(0.00-1.00)		.040	
18501055		G	0	.29	0.89	(0.84 - 0.94)	3.4 × 11	0-5	0.92 (0.87-0.99)		.017	
18543293		A	0	.30	0.88	(0.83 - 0.93)	2.3 × 11	0 <sup></sup>	0.92 (0.86-0.98)		.015	
rs7941541		G	0	.28	0.89	(0.83-0.95)	.0007		0.95 (0.88-1.03)		.21	
rs3851179		I	0	.35	0.89	(0.84-0.94)	3.9×10	0-5	0.93 (0.87-0.99)		.026	
						Effec	ct Direction: Unadj	usted/Adjust	ed	_		
SNP	MA	MAF	ADC	ADNI	CAMP	FHS	UM/VU/MSSM	MIRAGE	NIA-LOAD	OHSU	TGEN	
CR1												
rs3818361	А	0.26	+/-	+/+	_/_	+/+	+/+	+/+	+/+	+/+	+/+	
rs6701713	A	0.26	+/-	+/+	_/_	+/+	+/+	+/+	+/+	+/+	+/+	
rs1408077	Δ	0.26	+/+	+/+	_/_	+/+	+/+	+/+	+/+	+/+	+/+	
CLU		0.20	.,.	.,.	'	.,.	.,.	.,.	.,.	.,.	.,.	
rs7012010	С	0.39	+/+	+/+	?/?	+/-	+/-	+/+	+/+	_/_	+/+	
rs3087554	C	0.16	_/_	_/+	+/+	_/+	+/+	_/_	+/+	+/+	2/2	
rs11136000	Ť	0.43	_/_	_/_	_/_	_/_	_/+	_/+	_/_	_/_	_/+	
rs9331888	G	0.25	_/_	_/_	, +/+	_/_	+/+	_/_	+/+	+/+	_/_	
rs7982	т	0.38	_/_	2/2	_/_	2/2	_/_	_/_	_/_	2/2	2/2	
137302		0.00	'	./.	/	./ .	/	,	/	./.	./.	
PICALM				/	+/+	⊥/⊥	+/+	+/+	+/	/	+/+	
PICALM	G	0 / 0	/			1/1	171	1/1	1/-	_/_	1/1	
PICALM rs532470	G	0.49	_/_	-/-	.,	/	/ 1	/	/ 1	1/1		
PICALM rs532470 rs592297	G C	0.49 0.20	_/_ _/_	-/- +/+	-/-	_/_	-/+	_/_	_/+	+/+	-/-	
PICALM rs532470 rs592297 rs677909	G C C	0.49 0.20 0.40	-/- -/- -/-	-/- +/+ +/+	-/- -/+	-/- -/-	-/+ -/-	-/- -/-	_/+ _/_	+/+ +/+	-/- -/-	
PICALM rs532470 rs592297 rs677909 rs636848	G C C G	0.49 0.20 0.40 0.24	-/- -/- -/-	-/- +/+ +/+ -/-	-/- -/+ +/+	-/- -/- +/+	-/+ -/- -/-	-/- -/- -/-	-/+ -/- +/+	+/+ +/+ -/-	-/- -/- +/+	
PICALM rs532470 rs592297 rs677909 rs636848 rs541458	G C G C	0.49 0.20 0.40 0.24 0.39	-/- -/- -/- -/-	-/- +/+ +/+ -/- +/+	-/- -/+ +/+ -/+	-/- -/- +/+ -/-	-/+ -/- -/- -/-	-/- -/- -/-	-/+ -/- +/+ -/-	+/+ +/+ _/_ _/_	-/- -/- +/+ -/-	
PICALM rs532470 rs592297 rs677909 rs636848 rs541458 rs561655	G C C G C	0.49 0.20 0.40 0.24 0.39 0.29	-/- -/- -/- -/- -/-	-/- +/+ +/+ -/- +/+ +/+	-/- -/+ +/+ -/+ -/-	-/- -/- +/+ -/- -/+	-/+ -/- -/- -/-	-/- -/- -/- -/-	-/+ -/- +/+ -/- -/-	+/+ +/+ -/- -/- +/-	-/- -/- +/+ -/- -/-	
PICALM rs532470 rs592297 rs677909 rs636848 rs541458 rs561655 rs543293	G C G G A	0.49 0.20 0.40 0.24 0.39 0.29 0.36	-/- -/- -/- -/- -/- -/-	-/- +/+ +/+ -/- +/+ +/+	-/- -/+ +/+ -/+ -/-	-/- -/- +/+ -/- -/+	-/+ -/- -/- -/- -/-	-/- -/- -/- -/- -/-	-/+ -/- +/+ -/- -/-	+/+ +/+ -/- +/- +/-	-/- -/- +/+ -/- -/-	
PICALM rs532470 rs592297 rs677909 rs636848 rs541458 rs561655 rs543293 rs7941541	G C G G A G	0.49 0.20 0.40 0.24 0.39 0.29 0.36 0.28	-/- -/- -/- -/- -/- -/-	-/- +/+ +/+ -/- +/+ +/+ -/+ -/+	-/- -/+ +/+ -/+ -/- -/+ -/+	-/- -/- +/+ -/- -/+ -/-	-/+ -/- -/- -/- -/- -/-	-/- -/- -/- -/- -/- ?/?	-/+ -/- +/+ -/- -/- -/-	+/+ +/+ -/- +/- +/- +/- ?/?	-/- -/- +/+ -/- -/- -/-	

Abbreviations: AD, Alzheimer disease; ADC, Alzheimer's Disease Centers cohort; ADNI, Alzheimer's Disease Neuroimaging Initiative cohort; APOE, apolipoprotein E; CAMP, Collaborative Aging and Memory Project cohort; CI, confidence interval; FHS, Framingham Heart Study cohort; JHU, Johns Hopkins University cohort; MA, minor allele; MAF, weighted-average minor allele frequency; MIRAGE, Multi-Institutional Research on Alzheimer's Genetic Epidemiology cohort; NIA-LOAD, National Institute on Aging Late-Onset Alzheimer's Disease cohort; OHSU, Oregon Health and Science University cohort; OR, odds ratio; SNP, single-nucleotide polymorphism; TGEN, Translational Genomics Research Institute cohort; UM/VU/MSSM, University of Miami/Vanderbilt University/Mount Sinai School of Medicine cohort; ?, no data; +, positive; –, negative.

<sup>a</sup> *P* values and ORs estimated under an additive model using logistic regression without covariates (unadjusted) and with covariates (adjusted for age, sex, and *APOE*) in a meta-analysis of 9 white cohorts composed of 5935 cases and 7034 cognitively normal controls. Generalized linear models were used to estimate case-control data, and generalized estimating equations were used to estimate family-based data.

## RESULTS

To analyze the role of *CR1*, *CLU*, and *PICALM* in AD risk, the ADGC performed a meta-analysis using phenotypes and GWAS data from 12 different cohorts (Table 1). The ADGC is a collaborative network in the United States that includes the 29 NIA-funded ADCs and numerous AD genetics investigators who are working to identify genes responsible for AD. Of 7070 cases with AD examined, 3055 had autopsy documentation of AD. Of the 8169 cognitively nor-

mal elderly subjects (>60 years) examined, 1155 had autopsies documenting absence of significant AD neuropathology. The cohorts used included unrelated white cases and controls from the following sources: the NIA-funded ADCs, ADNI,<sup>8,32</sup> University of Miami/Vanderbilt University/ Mount Sinai School of Medicine<sup>17-19</sup> (A.C.N, G.W.B, and E.R.M, unpublished data, November 2009), Translational Genomics Research Institute,<sup>16</sup> and Oregon Health and Science University.<sup>33</sup> White cases and controls from the following family-based studies were also included: the MIRAGE

	Samplo		APOE Genotype Frequency (No./Total No.)						
Cohort and Subject Status	Sallple	APUE ε4 Positive, %	2/2	2/3	2/4	3/3	3/4	4/4	
White subjects									
ADC									
Cases	1582	68.0	0.00	0.03	0.02	0.29	0.49	0.16	
Controls	540	28.2	0.01	0.14	0.01	0.57	0.27	0.01	
ADNI									
Cases	286	67.7	0.00	0.02	0.03	0.3	0.47	0.18	
Controls	195	26.7	0.01	0.11	0.02	0.62	0.23	0.02	
CAMP									
Cases	123	36.6	0.00	0.1	0.02	0.54	0.27	0.08	
Controls	102	31.7	0.00	0.11	0.02	0.58	0.28	0.02	
FHS									
Cases	183	35.5	0.02	0.07	0.03	0.56	0.3	0.03	
Controls	2284	20.8	0.00	0.13	0.02	0.66	0.17	0.02	
UM/VU/MSSM									
Cases	1162	59.4	0.00	0.04	0.02	0.37	0.43	0.15	
Controls	1137	23.2	0.01	0.12	0.02	0.64	0.2	0.02	
MIRAGE									
Cases	559	58.1	0.00	0.04	0.03	0.37	0.41	0.14	
Controls	788	39.5	0.00	0.08	0.02	0.52	0.31	0.07	
NIA-LOAD		0010	0100	0.00	0.02	0.02	0.01	0.01	
Cases	985	75.6	0.00	0.02	0.02	0.22	0.55	0.19	
Controls	881	25.5	0.00	0.02	0.02	0.59	0.00	0.01	
OHSU	001	20.0	0.01	0.14	0.00	0.00	0.21	0.0	
Cases	186	40.3	0.00	0.09	0.05	0.51	0 32	0.03	
Controls	421	21.0	0.00	0.05	0.00	0.62	0.52	0.00	
TGEN	1 24	21.2	0.00	0.17	0.02	0.02	0.10	0.0	
Cases	810	61.5	0.00	0.03	0.04	0.35	0.43	0.1	
Controle	517	01.5	0.00	0.00	0.04	0.00	0.40	0.10	
African American subjects	517	21.0	0.03	0.12	0.02	0.03	0.19	0.0	
ADC	61	70.5	0.00	0.07	0.02	0.00	0.54	0.16	
Controls	60	70.0	0.00	0.07	0.02	0.23	0.04	0.10	
	00	34.4	0.02	0.15	0.1	0.5	0.23	0.02	
		ND	ND	ND	ND	ND	ND		
Controlo									
MIDACE		ND	ND	ND	ND	ND	ND	ND	
	100	60.4	0.00	0.02	0.02	0.00	0.40	0.1	
Castes	100	09.4	0.00	0.03	0.03	0.20	0.49	0.17	
CONTINUS Arch aubiasta	199	40.2	0.00	0.08	0.04	0.44	0.39	0.00	
Madi Ara ashart									
	70	6.0	0.00	0.00	0.00	0.02	0.07	0	
Castrola	/ 3	0.0	0.00	0.00	0.00	0.93	0.07	0	
	80	2.5	0.00	0.00	0.00	0.98	0.03	0	
Carlobean Hispanic Subjects									
Columbia University cohort	5.40	10.1	0.04	0.07	0.00	0.50	0.04		
Cases	549	40.4	0.01	0.07	0.03	0.52	0.31	0	
Controls	544	23.9	0.01	0.12	0.02	0.64	0.20	0	

(continued)

Study, <sup>15</sup> Framingham Heart Study, <sup>13,14,34</sup> NIA-LOAD Family Study, and Collaborative Aging and Memory Project.<sup>9,10</sup> Populations not of white descent included African American subjects from several ADCs, a community-based (Detroit, Michigan) study of AD, and the MIRAGE Study<sup>15</sup>; Caribbean Hispanic individuals from Manhattan, New York, the Dominican Republic, and Puerto Rico; and members of a genetically isolated Arab community in Wadi Ara, Israel.<sup>21-24</sup>

In each data set, we evaluated the association of AD with SNPs in or near *CR1*, *CLU*, and *PICALM* that were geno-typed on various platforms or imputed (Table 2). Results were combined across data sets using a meta-analysis approach (Table 3). We analyzed each racial/ethnic group separately. In white individuals, the largest group (5935 cases, 7034 controls), we found significant evidence of associa-

tion with multiple SNPs at each locus. In the unadjusted analyses, we obtained an OR of 0.91 with a 95% confidence interval (CI) of 0.85 to 0.96 for *CLU* SNP rs11136000, which is comparable with the effect size reported previously for the same SNP (ORs, 0.86<sup>7</sup> and 0.91<sup>6</sup>). For the *CR1* SNP rs3818361, we obtained an OR of 1.14 (95% CI, 1.07-1.22) compared with the previous report of 1.19.<sup>7</sup> *PICALM* SNP rs3851179 had an OR of 0.89 (95% CI, 0.84-0.94) compared with 0.86 observed previously.<sup>6</sup> None of the SNPs were significantly associated with AD in any of the other ethnic groups analyzed together or separately, possibly because of small sizes of these groups (1135 cases and 1135 controls, eTable 1).

We also examined the influence of *APOE* on the associations of the 3 genes with AD, since *APOE* is a known

		A <i>POE</i> Allele Frequenc	;y	Association of APOE £4 With AD <sup>a</sup>		
Cohort and Subject Status	2	3	4	OR (95% CI)	P Value	
White subjects						
ADC						
Cases	0.03	0.55	0.42	5.22 (4.21-6.46)	9.3×10 <sup>-5</sup>	
Controls	0.08	0.77	0.15			
ADNI						
Cases	0.02	0.55	0.43	4.50 (3.17-6.40)	5.1×10 <sup>-1</sup>	
Controls	0.07	0.79	0.14			
CAMP						
Cases	0.06	0.72	0.22	1.20 (0.70-2.07)	5.1×10 <sup>-1</sup>	
Controls	0.06	0.77	0.17			
FHS						
Cases	0.07	0.74	0.19	2.10 (1.52-2.89)	5.4×10 <sup>-6</sup>	
Controls	0.08	0.81	0.12	, , , , , , , , , , , , , , , , , , ,		
UM/VU/MSSM						
Cases	0.03	0.60	0.37	4.45 (3.78-5.24)	4.7×10 <sup>-7</sup>	
Controls	0.08	0.80	0.12	, , , , , , , , , , , , , , , , , , ,		
MIRAGE						
Cases	0.04	0.60	0.36	1.80 (1.56-2.07)	1.2×10 <sup>-1</sup>	
Controls	0.05	0.72	0.23	, , , , , , , , , , , , , , , , , , ,		
NIA-LOAD						
Cases	0.02	0.51	0.47	9.05 (7.34-11.17)	6.1×10 <sup>-9</sup>	
Controls	0.09	0.77	0.14	· · · · · ·		
OHSU						
Cases	0.07	0.72	0.22	2.30 (1.62-3.24)	2.4×10 <sup>-6</sup>	
Controls	0.09	0.80	0.11	· · · · · ·		
TGEN						
Cases	0.04	0.58	0.38	4.75 (3.78-5.96)	6.9×10 <sup>-4</sup>	
Controls	0.10	0.79	0.11	· · · · · ·		
African American subjects						
ADC						
Cases	0.04	0.53	0.43	3.92 (2.00-7.67)	6.7×10 <sup>-5</sup>	
Controls	0.13	0.68	0.18	· · · · · ·		
JHU						
Cases	ND	ND	ND	ND	ND	
Controls	ND	ND	ND			
MIRAGE						
Cases	0.03	0.54	0.43	2.17 (1.65-2.85)	2.4×10 <sup>-8</sup>	
Controls	0.06	0.67	0.27	· · · · · ·		
Arab subjects						
Wadi Ára cohort						
Cases	0.00	0.97	0.03	2.87 (0.54-15.26)	.217	
Controls	0.00	0.99	0.01			
Caribbean Hispanic subjects						
Columbia University cohort						
Cases	0.06	0.71	0.23	2.16 (1.67-2.81)	$4.9 \times 10^{-9}$	
Controls	0.08	0.80	0.13			

Abbreviations: AD, Alzheimer disease; ADC, Alzheimer's Disease Centers cohort; ADNI, Alzheimer's Disease Neuroimaging Initiative cohort; APOE, apolipoprotein E; CAMP, Collaborative Aging and Memory Project cohort; CI, confidence interval; FHS, Framingham Heart Study cohort; JHU, Johns Hopkins University cohort; MIRAGE, Multi-Institutional Research on Alzheimer's Genetic Epidemiology cohort; ND, not determined; NIA-LOAD, National Institute on Aging Late-Onset Alzheimer's Disease cohort; OHSU, Oregon Health and Science University cohort; OR, odds ratio; TGEN, Translational Genomics Research Institute cohort; UM/VU/MSSM, University of Miami/Vanderbilt University/Mount Sinai School of Medicine cohort.

<sup>a</sup>Odds ratio for association of APOE  $\varepsilon$ 4 with AD under an additive model, evaluated using logistic regression in the case-control cohorts and generalized estimating equations in the family cohorts.

AD susceptibility locus in most ethnic groups<sup>5,35</sup> and several *APOE* genotypes have been reported to modify disease expression in persons with rare mutations in presenilin 1 (*PSEN1*),<sup>36</sup> presenilin 2 (*PSEN2*),<sup>37</sup> and the amyloid precursor protein (*APP*)<sup>37,38</sup> genes. For the 13 cohorts where *APOE* genotype data were available, presence of 1 or more *APOE* £4 alleles was significantly associated with AD (ORs, 1.80-9.05) in all groups except the Amish and Israeli-Arab individuals (**Table 4**). We next reevaluated the association of AD with the *CR1*, *CLU*, and *PICALM* SNPs in the

white cohorts adjusting for age, sex, and the presence of at least 1 *APOE* £4 allele and found greatly reduced evidence for association with *PICALM* after adjustment (Table 3 and eTable 2), an effect that is attributable primarily to *APOE* (eTable 2). To explore this effect further, we analyzed the association of *CR1*, *CLU*, and *PICALM* SNPs with AD in subgroups stratified by the presence or absence of the *APOE* £4 allele. This analysis revealed that the association with *CLU* was evident only among subjects without the *APOE* £4 allele, whereas the association with *PICALM* was eviTable 5. Association of AD With CR1, CLU, and PICALM SNPs Stratified by APOE ε4 Carrier Status and Testing Statistical Interaction With APOE ε4 Carrier Status in White Cohorts

	Absence of AP	0Εε4 <sup>a</sup>	Presences of A	<b>ΡΟΕ</b> ε4 <sup>a</sup>	SNP × APOE Interaction <sup>b</sup>		
Gene/SNP	OR (95% CI)	P Value	OR (95% CI)	P Value	OR (95% CI)	P Value	
CR1							
rs3818361	1.10 (1.02-1.19)	.0170	1.14 (1.03-1.26)	.0120	1.01 (0.99-1.03)	.2800	
rs6701713	1.10 (1.01-1.19)	.0210	1.14 (1.03-1.26)	.0110	1.01 (0.99-1.04)	.2800	
rs1408077	1.06 (1.00-1.12)	.0360	1.15 (1.03-1.27)	.0099	1.06 (0.97-1.16)	.1900	
CLU	( / /						
rs7012010	1.10 (1.00-1.20)	.0430	1.05 (1.00-1.10)	.0640	1.03 (0.94-1.12)	.5100	
rs3087554	1.01 (0.90-1.14)	.8800	1.00 (0.84-1.18)	.9700	1.00 (0.82-1.22)	>.9999	
rs11136000	0.91 (0.84-0.98)	.0150	0.93 (0.84-1.03)	.1700	0.98 (0.92-1.06)	.6500	
rs9331888	1.03 (0.93-1.14)	.5300	0.92 (0.80-1.05)	.1900	0.89 (0.77-1.04)	.1400	
rs7982	0.87 (0.79-0.97)	.0092	0.92 (0.81-1.05)	.2200	1.06 (0.91-1.24)	.4800	
PICALM	· · · /		· · · /		· · · · ·		
rs532470	0.99 (0.92-1.08)	.8900	1.12 (1.01-1.24)	.0300	1.11 (0.98-1.25)	.1000	
rs592297	1.04 (0.97-1.11)	.3200	0.90 (0.79-1.03)	.1200	0.85 (0.73-1.00)	.0480	
rs677909	0.99 (0.91-1.08)	.8000	0.86 (0.77-0.96)	.0062	0.86 (0.75-0.98)	.0260	
rs636848	0.96 (0.88-1.06)	.4400	1.07 (0.95-1.21)	.2700	1.07 (0.92-1.23)	.3900	
rs541458	0.99 (0.91-1.08)	.8100	0.86 (0.77-0.96)	.0066	0.86 (0.75-0.98)	.0270	
rs561655	0.97 (0.89-1.06)	.5000	0.83 (0.75-0.93)	.0009	0.82 (0.73-0.93)	.0024	
rs543293	1.00 (0.92-1.09)	.9800	0.83 (0.74-0.93)	.0011	0.81 (0.71-0.93)	.0026	
rs7941541	0.98 (0.90-1.08)	.7300	0.90 (0.79-1.02)	.0990	0.89 (0.79-0.99)	.0360	
rs3851179	0.99 (0.91-1.07)	.7300	0.86 (0.77-0.95)	.0034	0.84 (0.74-0.95)	.0068	

Abbreviations: AD, Alzheimer disease; APOE, apolipoprotein E; CI, confidence interval; OR, odds ratio; SNP, single-nucleotide polymorphism.

<sup>a</sup> Meta-analysis *P* values and ORs estimated under an additive model using logistic regression without covariates among subjects with no *APOE*  $\varepsilon$ 4 alleles and among individuals with at least 1 *APOE*  $\varepsilon$ 4 allele.

<sup>b</sup> Meta-analysis *P* values and ORs for the interaction term (SNP × *APOE* interaction) were evaluated using logistic regression under an additive model including terms for the 2 main effects (SNP minor allele dosage and the presence of at least 1 *APOE* £4 allele) and their interaction.

dent only among subjects with the *APOE*  $\varepsilon$ 4 allele (**Table 5**). Analysis of models containing terms for the main effects of each SNP and the presence or absence of the *APOE*  $\varepsilon$ 4 allele and an interaction term showed significant evidence of interaction for the presence or absence of the *APOE*  $\varepsilon$ 4 allele and 7 of the 9 *PICALM* SNPs, with indications of a synergistic effect of these 2 genes on AD risk (Table 5 and eTable 3). Interactions of *CR1* and *CLU* SNPs with the presence or absence of the *APOE*  $\varepsilon$ 4 allele were not statistically significant.

## COMMENT

Using a large multicenter data set of AD cases and controls, we confirm that *CR1*, *CLU*, and *PICALM* are AD susceptibility loci in European ancestry populations. The ORs we get for each are similar to those obtained in the original discovery cohort, suggesting that these estimates of risk are quite accurate for the white AD population, reflecting in part the large size of the cohorts used.<sup>6,7</sup> *Clearly*, a large data set is required to replicate these smalleffect loci. We were unable to replicate the association of these 3 genes in the African-American, Arab, and Hispanic populations. However, further analysis is merited in these racial/ethnic groups using larger cohorts.

While this article was being prepared for publication, a GWAS on AD was reported by Seshadri et al.<sup>39</sup> There was some overlap in that study and ours in that the Translational Genomics Research Institute and Framingham Heart Study cohorts are used in both studies. However, whereas Seshadri et al used only prospectively diagnosed AD cases (n=52) and unrelated controls (n=2091) from the Framingham Heart Study, we included these subjects as well as prevalent and newly diagnosed cases and related controls, yielding a total sample of 197 AD cases and 2392 controls. Both studies independently confirm that *CLU* and *PICALM* are AD susceptibility genes. A primary difference between the 2 studies is that herein we confirm *CR1* as an AD locus while Seshadri et al<sup>39</sup> obtained only nominal support for *CR1*.

The cohorts used herein have several features worth mentioning in the context of GWAS for AD. First, the cohorts have a large number of autopsies in the cases (n=3055). Because the gold standard for diagnosis is neuropathologic confirmation of AD pathology, using autopsied cases reduces etiologic heterogeneity. Second, the controls used herein were elderly, of comparable age to case onset ages, and cognitively normal. Since these subjects lived to a comparable age to cases without developing AD, the case-control contrast should be more robust than if voung controls were used. In addition, cases and controls will be comparably censored at other non-AD loci responsible for common diseases of elderly individuals that are unrelated to AD. Third, the cohorts used herein were not involved in the initial discovery of CLU, CR1, and PICALM and thus represent a completely independent replication data set. This is critical in terms of evaluating evidence that these genes are truly AD risk loci. The ideal controls for an AD GWAS would be subjects who were cognitively normal at death, had autopsy documentation that plaque load and tangle distribution did not reach criteria for AD pathology, and were elderly. In autopsy se-

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(continued)

ries of older cognitively normal subjects, most have some neurofibrillary tangles and some nonneuritic, and possibly spare neuritic, amyloid deposits but do not reach the accepted threshold for AD, although about a third of these normal subjects do meet neuropathologic criteria for AD.<sup>40-43</sup> In autopsy series of subjects with mild cognitive impairment, up to two-thirds of subjects have AD-level neuropathology.44 These findings give rise to the hypothesis that amyloid deposition and tangle formation begin before cognitive decline becomes detectable, an idea strengthened by recent biomarker and amyloid imaging work.<sup>45</sup> Thus, in persons without dementia, a fraction, mostly those with mild cognitive impairment, will develop AD within a few years and this conversion rate increases with the age of the population, decreasing the contrast between cases and controls and reducing power. To minimize the potential confounding effect of mild cognitive impairment, we excluded them from these analyses and emphasized 1155 controls with autopsy information (Table 1).

When we examined the interaction of the CR1, CLU, and PICALM and APOE genotypes, we detected synergy between APOE and PICALM but not with CR1 or CLU. Our results show that the PICALM association is predominantly in subjects carrying the APOE  $\varepsilon$ 4 allele. Consistent with conclusions from previous studies showing interaction of APOE with PSEN1,<sup>36</sup> PSEN2,<sup>37</sup> and APP,<sup>37,38</sup> our results suggest that the APOE and PICALM gene products participate in a common pathogenic pathway leading to AD. Since PSEN1, PSEN2, and APP are all involved in  $\beta$ -amyloid production, PICALM may also participate in this process, though a more indirect involvement cannot be ruled out and the biology of these interactions remains to be determined. We did not detect an interaction of APOE with CR1 or CLU, though this could be because of sample size, which was not large enough to detect very weak interactions. Also, since the APOE effect on AD risk is much stronger in young case populations,<sup>35</sup> the age structure of our study

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and of others may not be optimal for detecting these interactions.

Our study and those from other consortia<sup>6,7</sup> (E. M. Wijsman, PhD, Y. Choi, MS, J. H. Rothstein, MS, et al, unpublished data, June 2010) show that AD susceptibility loci can be identified by GWAS. Initial AD GWAS had samples sizes that, in comparison with those from the large consortia, were modest and inadequately powered to detect the small effect loci replicated herein.<sup>18,46-51</sup> As sample sizes increase, as in other complex disorders, we expect additional loci to be identified.

#### Accepted for Publication: June 24, 2010.

Published Online: August 9, 2010. doi:10.1001/archneurol.2010.201

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Author Contributions: Data used in preparation of this article were obtained from the ADNI database (www.loni .ucla.edu/ADNI). As such, Dr Saykin, an investigator within the ADNI, contributed to the design and implementation of ADNI and/or provided data but did not participate in analysis or writing of this article. A complete listing of the ADNI investigators is available at http://www.loni.ucla .edu/ADNI/Collaboration/ADNI\_Manuscript\_Citations .pdf. Study concept and design: Jun, Buxbaum, Friedland, Raskind, Shelanski, Bennett, Martin, Montine, Goate, Blacker, Hakonarson, Kukull, Haines, Mayeux, Farrer, Pericak-Vance, and Schellenberg. Acquisition of data: Jun, Wang, Buxbaum, Ertekin-Taner, Fallin, Friedland, Inzelberg, Kramer, Rogaeva, St. George-Hyslop, Arnold, Baldwin, Barber, Beach, Bigio, Bird, Boxer, Burke, Cairns, Carroll, Chui, Clark, Cotman, DeCarli, Diaz-Arrastia, Dick, Dickson, Ellis, Fallon, Farlow, Ferris, Frosch, Galasko, Gearing, Ghetti, Gilman, Glass, Graff-Radford, Green, Growdon, Hamilton, Harrell, Head, Honig, Hulette, Hyman, Jicha, Jin, Johnson, Karlawish, Karydas, Kaye, Kim, Koo, Kowall, Lah, Levey, Lieberman, Lopez, Mack, Markesbery, Marson, Martiniuk, Masliah, McKee, Mesulam, J. W. Miller, B. L. Miller, C. A. Miller, Parisi, Perl, Peskind, Poon, Quinn, Reisberg, Ringman, Roberson, Rosenberg, Sano, J. A. Schneider, L. S. Schneider, Seeley, Smith, Spina, Stern, Tanzi, Troncoso, Van Deerlin, Vinters, Vonsattel, Weintraub, Woltjer, Younkin, Cantwell, Dombroski, Saykin, Reiman, Bennett, Morris, Beekly, Kukull, Foroud, Haines, Mayeux, Farrer, Pericak-Vance, and Schellenberg. Analysis and interpretation of data: Jun, Naj, Beecham, Wang, Buros, Gallins, Friedland, Cummings, Geschwind, Giordani, Jicha, Markesbery, C. A. Miller, Petersen, Trojanowski, Welsh-Bohmer, Reiman, Lunetta, Martin, Blacker, Tsuang, Cupples, Hakonarson, Haines, Farrer, Pericak-Vance, and Schellenberg. Drafting of the manuscript: Jun, Naj, Beecham, Buros, Gallins, Buxbaum, St. George-Hyslop, Cummings, Hamilton, Hulette, Karydas, Martiniuk, Poon,

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Financial Disclosure: Dr Gilman serves on safety monitoring committees for Elan, Pfizer, Janssen, and Allergan pharmaceutical companies and a steering committee for a trial of rasagiline for multiple system atrophy sponsored by Teva Pharmaceuticals. He receives reimbursement only for his time by each of these sponsors. He also consults for Longitude Capital and the Gerson Lehman Group. Dr Reiman has received research grants and contracts from the NIA, state of Arizona, Kronos Life Sciences, GlaxoSmithKline, AstraZeneca, and Avid and has provided consultation and advisory board services to AstraZeneca, Amnestix/Sygnis, Elan, Eli Lilly, and Siemens. Dr Rosenberg is editor of the Archives of Neurology and obtained an independent review and assessment of the manuscript from outside the editorial office prior to its acceptance. The ADNI is funded through generous contributions from the following: Abbott, AstraZeneca AB, Bayer Schering Pharma AG, Bristol-Myers Squibb, Eisai Global Clinical Development, Elan Corporation, Genentech, GE Healthcare, GlaxoSmithKline, Innogenetics, Johnson & Johnson, Eli Lilly and Co, Medpace Inc, Merck and Co Inc, Novartis AG, Pfizer Inc, F. Hoffman-La Roche, Schering-Plough, Synarc Inc, and Wyeth.

Funding/Support: The ADGC is funded by the US National Institutes of Health (NIH), NIA grants U01 AG032984 and RC2 AG036528 and a grant from a private foundation wishing to remain anonymous. The NIH-NIA also provides financial support to National Alzheimer's Coordinating Center (grant U01 AG016976), National Cell Repository for Alzheimer's Disease (grant U24-AG021886), and the ADCs: Banner Alzheimer's Institute (grant P30 AG019610), Boston University (grants P30 AG013846, R01 HG02213, K24 AG027841, U01 AG10483, R01 CA129769, and R01 MH080295), Columbia University (grant P50 AG008702), Duke University (grant P30 AG028377), Emory University (grant AG025688), Indiana University (grant P30 AG10133), Johns Hopkins University (grant P50 AG005146), Massachusetts General Hospital (grant P50 AG005134), Mayo Clinic (grant P50 AG016574), Mount Sinai School of Medicine (grant P50 AG005138), New York University (grants P30 AG08051, UO1 AG16976, MO1 RR00096, and UL1 RR029893), Northwestern University (grant P30 AG013854), Oregon Health and Science University (grant P30 AG008017), Rush University (grant P30 AG010161), University of Alabama at Birmingham (grant P50 AG016582 and grant UL1 RR02777 through the University of Alabama at Birmingham Center for Clinical and Translational Science), University of California, Davis (grant P30 AG010129), University of California, Irvine (grants P50 AG016573, P50 AG016574, P50 AG016575, P50 AG016576, and P50 AG016577), University of California, Los Angeles (grant P50 AG016570), University of California, San Diego (grant P50 AG005131), University of California, San Francisco (grants P50 AG023501 and P01 AG019724), University of Kentucky (grant P30 AG028383), University of Michigan (grant P50 AG008671), University of Pennsylvania (grant P30 AG010124), University of Pittsburgh (grant P50 AG005133), University of Southern California (grant P50 AG005142), University of Texas Southwestern (grant P30 AG012300), University of Washington (grant P50 AG005136), and Washington University (grants P50 AG005681 and P01 AG03991). The work completed by Boston University is also supported by Alzheimer's Association grant IIRG 08-89720 and VA New England Geriatric Research Education and Clinical Center. This project was also made possible by the many contributions of individual study data sets, supported in part by NIH. These include the NIA-LOAD Family Study (NIH grant U24 AG026395), Columbia University study (NIH grant R37 AG015473), ADNI (grants U01 AG024904 and RC2 AG036535), Framingham Heart Study (grants N01 HC-25195, R01 NS017950, R01 AG08122, R01 AG16495, R01 AG033193, and R01 AG031287), Collaborative Aging and Memory Project (grant R01 AG019085), Johns Hopkins University (grant R01 AG020688), MIRAGE Study (grant R01 AG009029), Wadi Ara study (grant R01 AG017173), and the Multiethnic Genome-wide Association Study (Dr Farrer; grant R01 AG025259). The University of Miami/Vanderbilt University/Mount Sinai School of Medicine work was supported by grants from the NIA-NIH (AG010491, AG002219, AG005138, AG027944, AG021547, AG019757, and R01 AG 027944) and from the Alzheimer's Association (IIRG 05-14147). A subset of these participants was ascertained while Dr Pericak-Vance was a faculty member at Duke University. The study by Oregon Health and Science University was supported by NIA grants R01 AG026916, P30 AG028377, P50 AG005146, P30 AG028383, P50 AG16574, U01 AG06786, P30 AG008017, P30 AG10161, R01 AG17917, P30 AG10129, P50 AG05131, P50 AG08671, P50 AG05681, P01 AG03991, and U01 AG016976 of the NIH and by the Natural Science Foundation of China (project numbers 30730057 and 30700442). The Translational Genomics Research Institute is supported by NIH grant R01 AG031581, Kronos Life Sciences, and the state of Arizona.

The ADNI data collection and sharing for this project was funded by NIH grant U01 AG024904 (principal investigator, Michael W. Weiner, MD). The ADNI is funded by the NIA and the National Institute of Biomedical Imaging and Bioengineering, as well as nonprofit partners the Alzheimer's Association and Alzheimer's Drug Discovery Foundation, with participation from the US Food and Drug Administration. Private sector contributions to ADNI are facilitated by the Foundation for the National Institutes of Health (http://www.fnih.org). The grantee organization is the Northern California Institute for Research and Education, and the study is coordinated by the Alzheimer's Disease Cooperative Study at the University of California, San Diego. The ADNI data are disseminated by the Laboratory of Neuro Imaging at the University of California, Los Angeles. This research was also supported by NIH grants P30 AG010129 and K01 AG030514 and the Dana Foundation.

**Online-Only Material**: The eAppendix and eTables are available at http://www.archneurol.com.

Additional Contributions: We thank Creighton Phelps, PhD, Marcelle Morrison-Bogorad, PhD, and Marilyn Miller, PhD, from the NIA for help in acquiring samples and data; they are ex officio members of the ADGC. Duke University acknowledges John Ervin, BA, from the Brain Bank and Kathleen Hayden, PhD, in the Clinical Core for their respective efforts in the DNA/data pulls required.

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